



## Questionnaire

Questions investors should be asking of business and questions companies should be asking of themselves.

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### 10+ General Information Questions

Company Name

Where is the Head Office?

What geographic locations or countries do they operate in?

Are any of these in Developing Countries? If yes, which counties?

What are the company's operations  
(office work, manufacturing, production, warehousing, IT, logistics, etc).

What countries do they rely on for their main suppliers or distributors?

What is the number of personnel, including permanent and full-time equivalent (FTEs) employees and part-time workers.

Do they employ a large number of contractors?

Do they have a Board of Directors?

Are they a publicly traded entity?

Have they assigned a designated point person for day-to-day ESG matters?

### 10+ Environmental Questions

What is the company's policy on environmental responsibility?

**What is the company's track record on waste management and reduction?**

**How does the company rate in terms of greenhouse gas (GHG) emissions?**

**What are the company's water usage practices?**

**Does the company have any sustainable sourcing policies in place?**

**How does the company treat endangered species?**

**What kind of packaging does the company use?**

**What is the company's policy on sustainable forestry?**

**Does the company have any renewable energy initiatives?**

**Does the company do any Carbon Trading?**

**What products or services does the company offer that have an environmental benefit?**

**Does the company use recycled materials in its products?**

**Does the company have any legal issues around environmental practices?**

**Does the company have any water usage goals or restrictions?**

**Does the company have any environmental certifications?**

**How does the company compare to its competitors in terms of sustainability?**

## 10+ Social Questions

**What is the company's policy on human rights?**

**What is the company's track record on labor and employment standards?**

**How does the company rate in terms of health and safety?**

**What are the company's social media policies?**

**What is the company's policy on diversity and inclusion?**

**What is the company's policy on community engagement?**

**How does the company treat its suppliers?**

**Does the company have any programs in place to reduce its carbon footprint?**

**Does the company have any legal issues around social responsibility?**

**Does the company have any controversies or legal cases related to its social policies?**

How does the company handle social media and public relations?

Is the company engaged in any community development or charitable work?

Does the company have any social certifications?

How does the company compare to its competitors in terms of sustainability?

## 10+ Governance Questions

What is the company's policy on board independence?

What is the company's policy on audit committee independence?

Does the company have a code of conduct?

Are there whistleblower protections in place?

How much transparency does the company offer to shareholders?

Do they have any sustainability or social reporting initiatives?

Is the company's supply chain transparent?

How does the company source its materials and goods?

Does the company have any sustainable transportation initiatives?

Does the company have any sustainable product designs?

What are some challenges the company faces with regards to sustainability?

Is the company receptive to feedback and criticism regarding its sustainability policies?

How does the company compare to its competitors in terms of sustainability?

## 10+ IT Security Questions

Have there been any breaches in cyber security in the last three years?

Is the company ISO 27001-certified for information security?

What kind of insurance does the company have in place to protect it from cyber attacks?

What steps has the company taken to mitigate the risk of a cyber attack, such as penetration testing and employee training?

How does the company ensure that its IT systems comply with industry best practices?

What kind of cyber security threats does the company expect to face in the coming year?

How does the company protect against insider threats?

Has the company been affected by any ransomware attacks in the past?

What kind of backup and recovery plan does the company have in place in the event of a cyber attack?

Is there a policy in place for disposing of old or unused IT equipment?

## 10+ Supply Chain Questions

What policies and procedures does the company have in place to ensure that its suppliers comply with applicable laws and regulations, as well as with the company's own social and environmental standards?

How does the company assess the sustainability of its supply chain?

Do they use independent third-party verification?

What steps does the company take to manage and mitigate environmental and social risks in its supply chain?

What is the company's policy on sourcing conflict minerals (e.g., tin, tantalum, tungsten, and gold) from regions affected by conflict?

What is the company's policy on using child labor in its supply chain?

What is the company's policy on using forced labor in its supply chain?

What is the company's policy on sustainable sourcing of materials?

How does the company ensure that its suppliers are environmentally responsible?

How does the company ensure that its suppliers are socially responsible?

What steps is the company taking to reduce its environmental and social footprints in its supply chain?

Is there a policy in place for purchasing that encourages suppliers to have sustainable working conditions?

Does the firm have a supply chain risk assessment for its procurement, supply chain, and logistics divisions?

### *In conclusion...*

*The above questions are just a small sample of the many questions you should be asking companies about their sustainable practices. Do your research and ask the tough questions to make sure your investments are aligned with your values. With a little effort, you can make a big impact on the world simply by how you choose to invest your money.*